BUS-123 Spring 2014 10 points

## Mutual Fund Annual Report Chapter 4 Assignment

Name:	
Due: TueThu Feb 27th.	Online Mar 1

Along with the prospectus, one of the most important documents for potential mutual fund investors is the mutual fund annual report. Some are very sparse; others are rich in information. Some are easy to read; many are difficult to penetrate. Each student will closely examine one or two mutual fund annual reports. (There are links to six different companies on the class web page. However, do not limit yourself. There are dozens of companies and thousands of mutual funds! I have some annual reports to lend to students. Stop by or make an appointment at my office if you would like to borrow one or two.) Read the annual report carefully. Then research your fund using either the Internet (finance.yahoo.com, morningstar.com, etc.) or library resources (Morningstar, Lipper, etc.). Afterward, please answer the following questions:

			Mutual Fund #1	Mutual Fund #2	
1.	Mutual fund name:				
2.	Five-character quote symbol (any share class):				
3.	Mutual fund family (Fidelity, Vanguard, etc.):				
4.	Objective of the fund (growth, growth & income, etc):				
5.	Benchmark index (S&P 500, MSCI World, etc):				
6.	Morra)	ningstar information: (www.morningstar.com) Rating (how many stars):			
	b)	Category (world stock, emerging mkts, etc):			
	c)	Style map (large value, small blend, etc):			
7.	Perfo	ormance: (annual report <i>or</i> www.morningstar.com) 5-year return:			
	b)	5-year return category comparison (+/-):			
	c)	10-year return:			
	d)	10-year return category comparison (+/-):			
	e)	Lifetime return: (At mutual fund web site)			
8.	Risk a)	: (At finance.yahoo.com <i>or</i> morningstar.com – choose <i>F</i> 5-year standard deviation:	Risk)		
	b)	5-year standard deviation category:			
	c)	10-year standard deviation:			
	d)	10-year standard deviation category:			
9.	Turn a)	nover: (At finance.yahoo.com, enter the symbol and cho Turnover percentage of fund:	pose <i>Profile</i> )		
	b)	Turnover percentage of category:			
10.	Capt a) b) c) d)	ture ratio: (At morningstar.com, enter symbol and chood 10-year upside capture ratio: 10-year downside capture ratio: 10-year benchmark upside capture ratio: 10-year benchmark downside capture ratio:	ose Ratings / Risk)		
11.	Top three holdings of the fund: (annual report <i>or</i> mutual fund company web site <i>or</i> finance.yahoo.com, <i>Holding</i> a) Company #1 / percentage (Ex: FedEx / 2.3%): / / /				
	b)	Company #2 / percentage:	/	/	
	c)	Company #3 / percentage:	/	/	